

DEVA MATHA COLLEGE KURAVILANGAD

Affiliated to Mahatma Gandhi University, Kottayam



SYLLABUS

OF

ADD-ON COURSE

IN

Certificate Programme in Mutual Fund

Academic Year: 2022-23

DEVA MATHA COLLEGE KURAVILANGAD

ADD-ON COURSE FOR THE AY 2022-23

Title : Certificate Programme in Mutual Fund

Instructional Hours :30 Hrs

Duration :3 Months

Mode of Instruction :Offline

Intake Capacity :20 Students

Eligibility :Graduation

Course Objectives

1. To know the basics of mutual funds and different kinds of mutual fund schemes.
2. To know the mutual fund investment process and various intermediaries involved.
3. To understand the mutual fund valuation concepts.
4. To learn the legal and regulatory environment of mutual funds.

Module 1

Meaning- Objectives- Advantages – Role of the Sponsor, Trustee and AMC, Regulatory provisions with respect to Sponsor, Trustee and AMC- Role and Functions of Service Providers of mutual fund- Payment Aggregators- Advantages and limitations of Mutual Funds.

(10 Hours)

Module 2

Open Ended Fund- Close Ended Fund- Exchange Traded – SIP- Investing in Mutual Funds: Understanding the Process, Investment Objective- Prospectus / Offer Document and Key Information Memorandum, Processes, Rights and Obligations for Investors.

(10 Hours)

Module 3

Concept of Net Asset -Value Role of SEBI in regulating mutual funds,SEBI Advertising Code for Mutual Funds- Functions of AMFI,AMFI Code of Ethics (ACE) and AMFI Guidelines and Norms for Intermediaries (AGNI), Due Diligence process for its distributors, Rights and obligations of investors in a mutual fund, New Fund Offer, Statement of Additional Information (SAI) and Scheme Information Document (SID), Key Information Memorandum (KIM)..

(10 Hours)

References

Sashi K. Gupta - Capital Market, Kalyani

Dr. S. Guruswamy – Financial Services and System, McGraw Hill Education

E. Gordon & K. Natarajan – Financial Markets & Services – Himalaya Publishing House

Assessment Procedure

Attendance, Assignment and Examination

Grading

95 and above	- A+
85 to 95	- A
75 to 85	- B
65 to 75	- C
55 to 65	- D



Principal
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Kuravilangad - 686 633